



PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

**Wataru IMAJUKU, ET AL.**

Serial No. **10/060,999**

Filed: **January 29, 2002**

(Divisional of 09/296,082 filed April 21, 1999)

For: **Method and Apparatus for Controlling  
Optical Wavelength Based on Optical  
Frequency Pulling**

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)  
) Art Unit: **2828**

) Examiner: **Nguyen, Dung T.**

)  
)  
) Attorney Docket No.: 44471/269580

I hereby certify that this correspondence is being deposited with the United States Postal Service as certified first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 08/06/2004.

*Janie Wilkins*  
Janie Wilkins

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Sir:

The citation of information on the attached Form PTO/SB/08A, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98. A copy of each non-U.S. patent document listed is enclosed.

**Information Disclosure Statement**

**Serial No. 10/060,999**

**Page 2**

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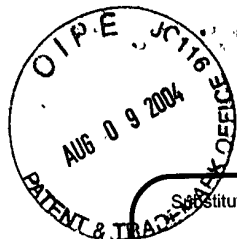
Respectfully submitted,

A handwritten signature in black ink, appearing to read "Brenda O. Holmes". The signature is fluid and cursive, with the first name "Brenda" being more prominent.

Brenda O. Holmes

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PTO/SB/08a (08-03)

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**INFORMATION DISCLOSURE  
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(Use as many sheets as necessary)

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of

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**Complete if Known**

Application Number	10/060,999
Filing Date	January 29, 2002
First Named Inventor	Wataru IMAJUKU
Art Unit	2828
Examiner Name	Nguyen, Dung T.
Attorney Docket Number	44471/269580

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
	1	US-4,839,614	6-13-1989	Hill et al.	
	2	US- 5,218,422	6-8-1993	Zoechbauer	
	3	US- 4,685,772	8-11-1987	Chang	
	4	US- 4,956,812	9-11-1990	Seya et al.	
	5	US- 5,544,183	8-6-1996	Takeda	
	6	US- 5,956,356	9-21-1999	Bergmann et al.	
	7	US- 5,991,477	11-23-1999	Ishikawa et al.	
	8	US- 6,157,025	12-5-2000	Katagiri et al.	
	9	US- 5,453,833	9-26-1995	Kawashima et al.	
		US-			
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**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				
	10	JP05-347605	12-27-1993	Mitsubishi Electric Corp		X
	11	JP11-133472 (Application No. 09-301690)	5-21-1999	Nippon Telegraph & Telephone Corporation		X
	12	JP03-72239	3-27-91	Hartmann & Braun AG		X
	13	JP Utility Model Laid-Open No. 06-56758	8-5-1994	Japan Tobacco Inc.		X
	14	JP05-206580	8-13-1993	Canon Inc.		X
	15	JP01-158788	6-21-1989	Hitachi Zosen Corp.		X
	16	JP63-300585	12-7-1988	NEC Corp.		X
	17	JP04-35079	2-5-1992	NEC Corp.		X
	18	JP04-287985	10-13-1992	Komatsu Ltd.		X

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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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